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Approved for use through 3/31/2007. OMB 0651-0021
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RANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTTORNEY'S DOCKET NUMBER JEEKP102US

| | ATIONAL APPLICATION NO. 12003/000663 | INTERNATIONAL FILING DATE August 13, 2003 | PRIORITY DATE CLAIMED August 15, 2002 | | | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|--|--|
| TITLE OF INVENTION | | | | | | | | | | | | |
| SOLID NANOMETER MEDICINES AND METHOD OF PREPARING SAME APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | |
| A 12 | A b | Liu, et al. | | | | | | | | | | |
| Applicar | nt herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| 2. \square | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371, | | | | | | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | | | | | | |
| 5. V | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | b. 🗹 has been communicated by the International Bureau. | | | | | | | | | | | |
| | c. In is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | |
| 6. V | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | a. is attached hereto. | | | | | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 7. V | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | |
| | d. have not been made and v | vill not be made. | • | | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| Item | s 11 to 20 below concern document(s |) or information included: | | | | | | | | | | |
| 11. 🗹 | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | |
| 13. 🗹 | A preliminary amendment. | | | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | | | |
| 17. | A computer-readable form of the sequence | uence listing in accordance with PCT Rule 1 | 3ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 19. | A second copy of the English language | ge translation of the international application | under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20 V | Other items or information: Everage | Mail Continues Continues December 1 | | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

| | Indos the E | Panaguark Paduction A | of 1995 | no nemons are required to respond | U.S. | Patent and Trade | emark (| for use through 3/3 | TO-1390 (Rev. 12-2004) 1/2007. OMB 0651-0021 MENT OF COMMERCE lid OMB control number. | | |
|--|---|---|--------------------------|---|----------|-------------------------------------|------------|------------------------|--|--|--|
| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of inform U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/CN03/000663 | | | | | | ATTORNEY'S DOCKET NUMBER JEEKP102US | | | | | |
| 21. The fol | | es are submitted: | | | _ | - | | | | | |
| a) Basic national fee\$300.00 | | | | | | | | 300.00 | | | |
| ☑ b) Examination fee\$200.00 | | | | | | | | 200.00 | | | |
| ☑ c) Search fee\$500.00 | | | | | | | \$ | 500.00 | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | | | | \$ | 1,000.00 | | | |
| listing o الكا | r compute | specification and di er program listing file eets of paper or fract | ed in an el | ed in paper over 100 sheets (ectronic medium). The fee is f. | \$250 fo | ng sequence or each | | | | | |
| Total Shee | | | | of each additional 50 or fraction (round up to a whole number) | | | | | | | |
| 29 - 10 | 00 = | /50 = | | | | x \$250.00 | \$ | | | | |
| | | for furnishing the or 37 CFR 1.492(e)). | ith or dec | laration later than 30 months f | rom the | e earliest | \$ | | | | |
| CLAIN | //S | NUMBER FIL | .ED | NUMBER EXTRA | | RATE | \$ | | | | |
| Total cla | aims | 21 | - 20 = | 1 | × | \$50.00 | \$ | 50.00 | | | |
| Independent | t claims | 2 | - 3 = | | × | \$200.00 | \$ | | | | |
| MULTIPLE I | DEPEND | ENT CLAIM(S) (if ap | plicable) | | + | \$360.00 | \$ | | | | |
| | | | | TOTAL OF ABOVE | CAL | CULATIONS = | \$ | 1,050.00 | | | |
| Application by 1/2. | ant claims | s small entity status. | See 37 C | FR 1.27. The fees indicated a | bove a | re reduced | \$ | 525.00 | | | |
| | | | | | | SUBTOTAL = | \$ 525.00 | | | | |
| | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | \$ | | | |
| | | | | TOTA | L NAT | IONAL FEE = | \$ 525.00 | | | | |
| Fee for reco | ording the | enclosed assignment er sheet (37 CFR 3 | nt (37 CF) 28, 3.31). | R 1.21(h)). The assignment me \$40.00 per property | ust be | accompanied + | \$ | | | | |
| | | | | TOTAL | FEES | ENCLOSED = | \$ 525.00 | | | | |
| | | | | | | | | Amount to be refunded: | | | |
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| a. 🔲 A | check in | the amount of \$ | | to cover the ab | ove fe | es is enclosed. | | | • | | |
| | | arge my Deposit Acc e copy of this sheet is | | in the amount of | f\$ | to c | over th | ne above fees. | | | |
| | | | | o charge any additional fees vopy of this sheet is enclosed. | vhich m | nay be required | , or cre | dit any overpaym | ent to Deposit t | | |
| d. Fe | ees are to | be charged to a cre | dit card. V | VARNING: Information on this card information and authorize | form r | may become pu on PTO-2038. | ıblic. C | redit card inform | ation should not | | |
| NOTE: Wh | ere an ap | propriate time Ilmi | t under 3 | 7 CFR 1.495 has not been mation to pending status. | | | e (37 (| CFR 1.137(a) or (| b)) must be filed | | |
| | | SPONDENCE TO: | | | | <u> </u> | | | | | |
| Amin & Turocy, LLP | | | | | | | | mong | | | |
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| | | | | | | | ION NUMBER | | | | |
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Docket No.:

JEEKP102US

Applicant:

Liu, et al.

Title:

SOLID NANOMETER MEDICINES AND METHOD OF

PREPARING SAME

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>February 15, 2005</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV373134871US</u> addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Rebecca A. Bellas

(Typed or Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)